



Attach Passport Photograph

INDIVIDUAL ACCOUNT OPENING FORM

This form must be read with and forms part of the stock broking terms and conditions.

NEW CLIENT AMENDMENT

SECTION A: PERSONAL KYC DETAILS

TITLE: _____
SURNAME: _____
FIRST NAME: _____
OTHER NAMES: _____
MOTHER'S MAIDEN NAME: _____
STATE OF ORIGIN/NATIONALITY: _____
LGA: _____
RESIDENTIAL ADDRESS: _____
CONTACT/POSTAL ADDRESS: _____
DATE OF BIRTH: _____
MARITAL STATUS: SINGLE MARRIED DIVORCED SEPARATED
RELIGION: CHRISTIANITY ISLAM OTHERS
PROFESSION/OCCUPATION: _____
COUNTRY OF RESIDENCE: _____
TELEPHONE: _____
E-MAIL ADDRESS: _____
KYC DOCUMENTS: INTERNATIONAL PASSPORT DRIVER'S LICENSE NATIONAL ID
 UTILITY BILL

SECTION B: INVESTMENT DETAILS

INITIAL INVESTMENT (R): _____
MODE OF PAYMENT: _____
MODE OF ACCOUNT: DISCRETIONARY NON-DISCRETIONARY
MODE OF COMMUNICATION: E-MAIL POST HOLD COURIER
INVESTMENT OBJECTIVE: INCOME GROWTH PRESERVATION OF CAPITAL ALL

SECTION C: EMPLOYMENT DETAILS

SELF EMPLOYED EMPLOYED RETIRED OTHERS

OCCUPATION: _____

EMPLOYER'S NAME: _____

EMPLOYER'S ADDRESS: _____

PERIOD WITH CURRENT EMPLOYER: _____

GROSS MONTHLY INCOME: 500K-1M 1M-2M 2M-5M 5M AND ABOVE

SECTION D: BANK DETAILS

NAME OF BANK: _____

ACCOUNT NAME: _____

ACCOUNT NUMBER: _____

DATE OF OPENING: _____

TYPE OF ACCOUNT: _____

BVN: _____

NAME OF BANK: _____

ACCOUNT NAME: _____

ACCOUNT NUMBER: _____

DATE OF OPENING: _____

TYPE OF ACCOUNT: _____

BVN: _____

SECTION E: OTHER DETAILS

NAME OF SPOUSE: _____

WEDDING ANNIVERSARY: _____ DATE OF BIRTH: _____

NAME OF CHILDREN: _____ D.O.B: _____

_____ D.O.B: _____

_____ D.O.B: _____

_____ D.O.B: _____

_____ D.O.B: _____

MOTHER'S MAIDEN NAME: _____

HOBBIES: _____ FAVOURITE COLOUR: _____

NEXT OF KIN

NAME: _____

ADDRESS: _____

PHONE NUMBER: _____

EMAIL: _____

SECTION G: FEEDBACK

Please indicate how you heard about MERISTEM:

MERISTEM WEBSITE NEWSPAPER RADIO JINGLES MAGAZINE SEMINARS OTHERS

WORD OF MOUTH (PLEASE SPECIFY WHO): _____

FURTHER DETAILS

Kindly state the purpose and reason for opening the account

MONEY LAUNDERING ACT

To help the Federal Government of Nigeria fight the funding of terrorism and money laundering activities, the Money Laundering (Prohibition) Act 2013 requires all financial and non-financial institutions to obtain, verify and record information that identifies each person who opens an account. In this regard, when you open an account with us at MERISTEM Securities Limited, we will ask for your name, address, means of identification, occupation and other information that will enable us to identify you and verify your identity on an ongoing basis.

Furthermore, in compliance with the money laundering (Prohibition) Act 2013, any single transaction, lodgment or transfer of funds in excess of ₦5, 000,000.00 or its equivalent in the case of an individual or ₦10, 000,000.00 or its equivalent in the case of a corporate body shall be reported in writing within 7 days to the Nigerian Financial Intelligence Unit (NFIU) and the Securities and Exchange Commission (as applicable).

SIGNATURE:

DATE: _____

THANK YOU FOR DOING BUSINESS WITH MERISTEM SECURITIES LIMITED.